

# Second Bidder

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**DBE - COMMITMENT**  
 DES-OE-0102.10D (REV 12/2014)

CONTRACT NO: **02-4h2004**

BID AMOUNT: **984,238.50**  
 \$

BID OPENING DATE: **12/04/18**

BIDDER'S NAME: **S.T.Rhoades Construction, inc.**

DBE GOAL FROM CONTRACT %: **16%**

DBE PRIME CONTRACTOR CERTIFICATION <sup>1</sup> : <b>37693</b>	TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE) <b>3</b>	TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE) <b>\$88,287.00</b>
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BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	WORK CATEGORY CODES <sup>3</sup>	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors)	AMOUNT (\$)
<b>1</b>	<b>LEAD COMPLIANCE PLAN</b>	<b>070030</b>	s.t.rhoades construction, inc. DBE#37693 8585 COMMERCIAL WAY REDDING, CA (530) 223-9322	<b>1,300.00</b>
<b>3</b>	<b>TRAFFIC CONTROL SYSTEM</b>	<b>120100</b>	s.t.rhoades construction, inc. DBE#37693 8585 COMMERCIAL WAY REDDING, CA (530) 223-9322	<b>71,200.00</b>
<b>4</b>	<b>TEMPORARY PAVEMENT MARKING (TAPE)</b>	<b>120152</b>	s.t.rhoades construction, inc. DBE#37693 8585 COMMERCIAL WAY REDDING, CA (530) 223-9322	<b>1,062.00</b>
<b>5</b>	<b>PORTABLE CHANGEABLE MESSAGE SIGN (LS)</b>	<b>128652</b>	s.t.rhoades construction, inc. DBE#37693 8585 COMMERCIAL WAY REDDING, CA (530) 223-9322	<b>2,200.00</b>
<b>6</b>	<b>JOB SITE MANAGEMENT</b>	<b>130100</b>	s.t.rhoades construction, inc. DBE#37693 8585 COMMERCIAL WAY REDDING, CA (530) 223-9322	<b>2,400.00</b>
<b>7</b>	<b>PREPARE WATER POLLUTION CONTROL PROGRAM</b>	<b>130200</b>	s.t.rhoades construction, inc. DBE#37693 8585 COMMERCIAL WAY REDDING, CA (530) 223-9322	<b>600.00</b>

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

<sup>1</sup>Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

<sup>2</sup>If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

<sup>3</sup>Use Work Category Codes from the California Unified Certification Program database.

Total Claimed  
Participation

\$  
CONTINUE  
ONTO  
NEXT PAGE  
%

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).

Signature of Bidder

12/04/18  
Date

(530)223-9322  
(Area Code) Tel. No.

Tyler Rhoades  
Person to Contact

(Please Type or Print)

ADA Notice

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15 E Wd 9-330 810Z

Contract No. 02-4H2004

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**DBE - COMMITMENT**  
 DES-OE-0102.10D (REV 12/2014)

CONTRACT NO: **02-4h2004**

BID AMOUNT: **984,238.50**

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BID OPENING DATE: **12/04/18**

BIDDER'S NAME: **S.T.Rhoades Construction, inc.**

DBE GOAL FROM CONTRACT %: **16%**

DBE PRIME CONTRACTOR CERTIFICATION<sup>1</sup>: **37693**

TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE) **3**

TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE) **\$88,287.00**

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	WORK CATEGORY CODES <sup>3</sup>	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors)	AMOUNT (\$)
9	SHOULDER BACKING	190185	S.T.Rhoades construction, inc. DBE#37693 8585 COMMERCIAL WAY REDDING, CA (530) 223-9322	30,000.00
10	REPLACE ASPHALT CONCRETE SURFACING	390095	S.T.Rhoades construction, inc. DBE#37693 8585 COMMERCIAL WAY REDDING, CA (530) 223-9322	104,000.00
11	HOT MIX ASPHALT (TYPE A)	390132	S.T.Rhoades construction, inc. DBE#37693 8585 COMMERCIAL WAY REDDING, CA (530) 223-9322	579,907.50
12	PLACE HOT MIX ASPHALT (MISCELLANEOUS ARE	394090	S.T.Rhoades construction, inc. DBE#37693 8585 COMMERCIAL WAY REDDING, CA (530) 223-9322	1,092.00
13	TACK COAT	397005	S.T.Rhoades construction, inc. DBE#37693 8585 COMMERCIAL WAY REDDING, CA (530) 223-9322	19,930.00
14	COLD PLANE ASPHALT CONCRETE PAVEMENT	398200	S.T.Rhoades construction, inc. DBE#37693 8585 COMMERCIAL WAY REDDING, CA (530) 223-9322	82,260.00

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

<sup>1</sup>Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

<sup>2</sup>If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

<sup>3</sup>Use Work Category Codes from the California Unified Certification Program database.

**Total Claimed  
Participation**

\$ 895,951.50

91 %

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).

  
 Signature of Bidder

12/04/18 (530)223-9322  
 Date (Area Code) Tel. No.

Tyler Rhoades  
 Person to Contact (Please Type or Print)

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Contract No. 02-4H2004



Contract No. 02-4H2004

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**  
 DES-OE-0102.11A (REV 12/2014)

Bidder's Name: S.T.Rhoades construction, inc.  
 Contract No.: 02-4H2004

Page 2 of 3

2. List the names of certified DBEs and all the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial and follow-up solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations, e-mail messages, telephone records, fax confirmations, etc.

Name of DBE Solicited	Date of Initial Solicitation	Items of Work Offered	Follow Up Methods and Dates
N/A DBE GOAL EXCEEDED			

3. For each item of work made available, indicate whether the Bidder provided plans and specifications specific to the items of work being offered, list the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE. Provide copies of each DBE and Non-DBE quote submitted to the Bidder whenever a Non-DBE firm was selected over a DBE for work on the Contract.

Items of Work	Provided Plans/ Specifications for Work Offered Yes/No	Name of Selected Firm	DBE or Non-DBE	Name of Rejected Firm	Quote (\$)	Price Difference (\$)
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above. Provide evidence as to why additional agreements could not be reached for DBEs to perform work.

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**DBE GOOD FAITH EFFORTS DOCUMENTATION**  
 DES-OE-0102.11A (REV 12/2014)

Bidder's Name: S.T.Rhoades construction, inc.  
 Contract No.: 02-4H2004

Page 3 of 3

4. Describe the Bidder's outreach efforts to identify and solicit the interest of all certified DBEs that have the capability to perform the work of the Contract. Provide copies of supporting documents.

Description of Outreach	Dates	Location (if applicable)	Results
N/A DBE GOAL EXCEEDED			

5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.

6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.

7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

9. Include additional data to support a demonstration of good faith efforts.

**NOTE:** USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

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Tue Dec 04 15:01:06 PST 2018

**Search Returned 1 Records****Query Criteria**

Certification Types: DBE

Firm ID: 37693

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<b>Firm ID</b>	37693
<b>DBA Name</b>	S.T. RHOADES CONSTRUCTION, INC.
<b>Firm Name</b>	S.T. RHOADES CONSTRUCTION, INC.
<b>Address Line1</b>	8585 COMMERCIAL WAY
<b>Address Line2</b>	
<b>City</b>	REDDING
<b>State</b>	CA
<b>Zip Code1</b>	96002
<b>Zip Code2</b>	
<b>Mailing</b>	P O BOX 494520
<b>Address Line1</b>	
<b>Mailing</b>	
<b>Address Line2</b>	
<b>Mailing City</b>	REDDING
<b>Mailing State</b>	CA
<b>Mailing Zip</b>	96049
<b>Code1</b>	
<b>Mailing Zip</b>	
<b>Code2</b>	
<b>Certification</b>	DBE
<b>Type</b>	
<b>EMail</b>	steve@strhoadesinc.com
<b>Contact Name</b>	STEVE RHOADES
<b>Area Code</b>	530
<b>Phone Number</b>	223-9322
<b>Extension</b>	
<b>Alt Area Code</b>	
<b>Alt Phone</b>	
<b>Number</b>	
<b>Extension</b>	
<b>Fax Area Code</b>	530
<b>Fax Phone</b>	223-9222
<b>Number</b>	
<b>Agency Name</b>	DEPARTMENT OF TRANSPORTATION
<b>Counties</b>	02; 03; 04; 05; 06; 08; 09; 11; 12; 14; 17; 18; 23; 25; 26; 29; 31; 32; 34; 45; 46; 47; 51; 52; 53; 57; 58;
<b>Districts</b>	01; 02; 03; 09; 10;
<b>DBE NAICS</b>	237110; 237310; 237990; 238120;
<b>ACDBE NAICS</b>	
<b>Work Codes</b>	C3901 ASPHALT CONCRETE; C2602 AGGREGATE BASE; C1531 PLANE ASPHALT

CONCRETE; C8852 SWPPP Planning; C8001 TEMPORARY FENCING; C2066 TEMPORARY EROSION CONTROL; C2201 FINISHING ROADWAY; C3930 PAVEMENT REINFORCING FABRIC; C5201 REINFORCING STEEL; C1200 CONSTRUCTION AREA SIGNS; C1201 TRAFFIC CONTROL SYSTEM; C1910 GRADING; C3600 PENETRATION TREATMENT & PRIME COAT; C3701 SEAL COAT; C3910 PAVING ASPHALT (ASPHALT CONCRETE); C5100 CONCRETE STRUCTURE; C5105 MINOR CONCRETE STRUCTURE; C9829 RETAINER WALLS;

**Licenses**

A General Engineering Contractor; C31 Construction Zone Traffic Control Contractor;

**Trucks****Gender**

M

**Ethnicity**

NATIVE AMERICAN

**Firm Type**

DBE

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**Electronic *Bid* book dated November 5, 2018**

**Identified by**

**Contract No. 02-4H2004**

**02-Sha-89-26.3/29.2**

**Project ID 0218000094**

**Federal-Aid Project**

**ACNH-P089(124)E**

Bids open Tuesday, December 4, 2018

Dated November 5, 2018



STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION

FORMS FOR  
BID

FOR CONSTRUCTION ON STATE HIGHWAY IN SHASTA COUNTY ABOUT 10  
MILES NORTH OF BURNEY FROM 0.3 MILE NORTH OF CLARK CREEK ROAD TO  
LAKE BRITTON BRIDGE

In District 02 On Route 89

Under

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*Notice to Bidders and Special Provisions* dated November 5, 2018

*Standard Specifications* dated 2015

Project plans approved September 10, 2018

*Standard Plans* dated 2015

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Applicable to

ORIGIN ID: RDDA (530) 223-9322  
 S. J. RHOADES CONSTRUCTION INC  
 S. J. RHOADES CONSTRUCTION INC  
 8595 COMMERCIAL WAY  
 REDDING, CA 96002  
 UNITED STATES US

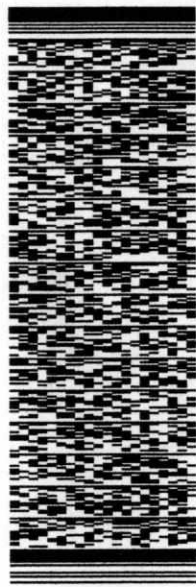
SHIP DATE: 05DEC18  
 ACTWTG: 1.00 LB  
 CAD: 102903153IN/ET/4040  
 BILL SENDER

TO OFFICE ENGINEER MSC43  
 DEPARTMENT OF TRANSPORTATION  
 1727 30TH STREET

SACRAMENTO CA 95816

REF: (916) 227-9568  
 INV: PO: DEPT:

552J2/E4AF/DCA5

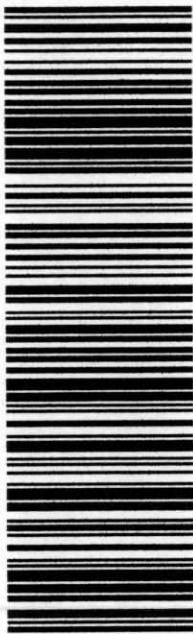


TRK# 7738 8794 5638  
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